

Application Form for Lumpsum/SIP/Folio Creation

Please refer instructions before filling the form

Application No :

I/We hereby confirm that the EUIIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker. (Refer Instruction no. 1vii).

Transaction Charges (Please tick any one of the below. For details refer KIM)

- I am a first time investor in Mutual Funds /
 I am an existing investor in Mutual Funds (Default)

Upfront commission, if any, shall be paid directly by the investor to the AMFI registered distributors based on the investors' assessment of various factors, including the service rendered by the distributor.

Sign Here - Sole/First Applicant/Guardian/POA

Sign Here - Second Applicant

Sign Here - Third Applicant

• Country of Birth/Citizenship/Nationality or Tax Residency, other than India, for any applicant:
 Yes No (Mandatory to ✓)

If Yes, please fill FATCA/CRS declaration

• NRI investors should mandatorily fill separate FATCA/CRS declarations

• Non-Individual investors should mandatorily fill separate FATCA / CRS & UBO declarations

Instructions

*No joint holder where minor is first holder PAN/KRN (Refer Instruction no. 3), Date of birth is mandatory in case of Minor, additionally refer Instruction no. 2, KYC & Networth (Refer Instruction no. 13). If the name given in the application does not match the name as appearing on the AADHAAR card, authentication, application may be liable to get rejected or further transactions may be liable to get rejected.

Key Partner/Agent Information

Distributor / Broker ARN <small>ARN -</small>	Sub-Broker ARN Code <small>ARN -</small>	Internal Sub-Broker/Employee Code
Employee Unique Identification No. (EUIIN) <small>(Of Individual ARN holder or of employee/ Relationship Manager/Sales Person of the Distributor)</small>		Registered Investment Advisor Code

Existing Unitholder: Please fill in Folio Number below and then proceed to section 2

Folio Number

Name of Sole / First Unit Holder

New Unit Holder

1. Applicant's Details (Name as per AADHAAR card)

Mode of Holding (Only for non-demat mode) Single Joint Anyone or Survivor (Default)

First/Sole

Mr. / Ms. / M/s.

City of Birth Country of Birth

PAN/KRN

Date of Birth

(As per AADHAAR card)

AADHAAR No.

KIN

Enclosed KYC Proof

Gross Annual Income

Below 1 Lac 1-5 Lacs (Default) 5-10 Lacs 10-25 Lacs 25 Lacs - 1 Crore > 1 Crore

Net-worth in Rs. As on (date within last 1 year) (Mandatory for Non-individuals)

Occupation Details

Private Service Pub. Sector / Govt. Serv. Professional Business Others Politically Exposed Person (PEP)
 Retired Student Agriculturist Forex Dealer (For Related to PEP
 Housewife Others (Please specify) (For individuals) Not Applicable (Default)

Second*

Mr. / Ms.

City of Birth Country of Birth

PAN/KRN

Date of Birth

(As per AADHAAR card)

AADHAAR No.

KIN

Enclosed KYC Proof

Gross Annual Income

Below 1 Lac 1-5 Lacs (Default) 5-10 Lacs 10-25 Lacs 25 Lacs - 1 Crore > 1 Crore

Net-worth in Rs. As on (date within last 1 year)

Occupation Details

Private Service Pub. Sector / Govt. Serv. Professional Business Others Politically Exposed Person (PEP)
 Retired Student Agriculturist Forex Dealer (For Related to PEP
 Housewife Others (Please specify) (For individuals) Not Applicable (Default)

Third*

Mr. / Ms.

City of Birth Country of Birth

PAN/KRN

Date of Birth

(As per AADHAAR card)

AADHAAR No.

KIN

Enclosed KYC Proof

Gross Annual Income

Below 1 Lac 1-5 Lacs (Default) 5-10 Lacs 10-25 Lacs 25 Lacs - 1 Crore > 1 Crore

Net-worth in Rs. As on (date within last 1 year)

Occupation Details

Private Service Pub. Sector / Govt. Serv. Professional Business Others Politically Exposed Person (PEP)
 Retired Student Agriculturist Forex Dealer (For Related to PEP
 Housewife Others (Please specify) (For individuals) Not Applicable (Default)

Others (For Non-individuals)

Is the entity involved in any of the following services (i) Foreign Exchange/Money Changer Services Yes No (Default)
(ii) Gaming/Gambling/Lottery/Casino Services/Betting Syndicates Yes No (Default) (iii) Money Lending/Pawning Yes No (Default)

